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GOVERNMENT NOTICE GOEWERMENTSKENNISGEWING

DEPARTMENT OF TRADE AND INDUSTRY DEPARTEMENT VAN HANDEL EN NYWERHEID

No. R. 16

3 February 2010

NON-PROLIFERATION OF WEAPONS OF MASS DESTRUCTION ACT, 1993 (ACT NO. 87 OF 1993)

REGULATIONS RELATING TO THE REGISTRATION OF PERSONS IN CONTROL
OF ANY ACTIVITY WITH REGARD TO CONTROLLED GOODS OR WHO HAVE
CONTROLLED GOODS IN THEIR POSSESSION OR CUSTODY OR UNDER
THEIR CONTROL

The Minister of Trade and Industry has, under section 24(1)(n), read with section 13(3)(a) of the Non-Proliferation of Weapons of Mass Destruction Act, 1993 (Act No. 87 of 1993), made the regulations in the Schedule.

SCHEDULE

Definitions

1. In these regulations any word or expression to which a meaning has been assigned in the Act shall have the meaning so assigned and, unless the context otherwise indicates—

"activity with regard to controlled goods" means the manufacture and transfer of, and trade in, controlled goods, and the provision of services related thereto;

"person" includes an organ of state as defined in the Constitution of the Republic of South Africa, 1996;

"the Act" means the Non-Proliferation of Weapons of Mass Destruction Act, 1993 (Act No. 87 of 1993).

Registration

- 2. (1) A person who is in control of any activity with regard to controlled goods or who has controlled goods in his or her possession or custody or under his or her control, shall register with the Council by completing, and submitting to the Council, the registration form contained in the annexure to this notice.
- (2) The Council shall, in writing, acknowledge receipt of the registration form.
- (3) The Council shall, within two months of receipt of a correctly completed registration form or of further information as may be requested by the Council, register the person contemplated in subregulation (1).
- (4) The Council shall, upon registration contemplated in subregulation (3), allocate a unique registration reference number to the person involved.
- (5) The Council shall, in writing, inform the person contemplated in subregulation (1) of the date upon which such a person is registered with the Council and of the unique registration reference number.

Registration form

- **3.** (1) The registration form contained in the annexure to this notice may be obtained from the Council at any of the following addresses:
 - (a) Postal address:

The Secretariat

South African Council for the Non-Proliferation of Weapons of Mass

Destruction

Private Bag X84

PRETORIA

0001; or

(b) physical address:

The Secretariat

South African Council for the Non-Proliferation of Weapons of Mass

Destruction
77 Meintjies Street
Sunnyside
PRETORIA.

(2) The completed registration form shall be submitted to the Council at any of the addresses specified in subregulation (1).

Validity and renewal of registration

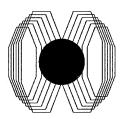
- **4.** (1) Registration with the Council shall be valid for a period of two years from the date contemplated in regulation 2(5).
- (2) Registration with the Council may be renewed by the submission of a new registration form two months prior to the expiry date contemplated in subregulation (1).
- (3) Regulation 2(2), (3) and (5) applies, with the necessary changes, to the renewal of a registration contemplated in subregulation (2).

Amendment of registration

- **5.** (1) A person registered with the Council shall notify the Council within 14 days of any changes to the information contained in such person's registration form.
- (2) The Council may amend the registration form to reflect the changes contemplated in subregulation (1).
- (3) Regulation 2(2), (3) and (5) applies, with the necessary changes, to the amendment of a registration form.

Applicant's Reference

ANNEXURE



SOUTH AFRICAN COUNCIL FOR THE NON-PROLIFERATION OF WEAPONS OF MASS DESTRUCTION

REGISTRATION FORM

It is recommended that in addition to persons contemplated in regulation 2(1), persons who intend to become involved with controlled goods or activities also complete this form.

Explanatory notes on terminology are included at the end of the form to assist applicants. A Non-Proliferation Information Guide and Internal Compliance Programme for Industry, covering non-proliferation legislation, control mechanisms, processes and procedures, as well as a recommended self-compliance programme for industry, is also available for information purposes on the following website: http://www.thedti.gov.za/nonproliferation.

If you wish to assign a reference number to this application, please insert it here:

· · · · · · · · · · · · · · · · · · ·	
FOR OFFICE	AL USE ONLY
Reference Number	
Date of Receipt of Application	
Registration Number	

SECTION 1: PARTICULARS OF THE APPLICANT						
1.1 Name of Business or Applicant (if an individual)						
1.2 Postal Address	1.3 Physical Address					
Code	Code					
1.4 Details of Responsible Person						
1.4.1 Name and Designation of Responsible Person						
-						
1.4.2 Telephone (Office)	1.4.3 Facsimile					
1.4.4 Mobile Telephone	1.4.5 Email					
1.5 Type of Business (Please indicate with an X)						
1.5.1 Company	1.5.2 Government Institution					
1.5.3 Close Corporation	1.5.4 Research Institution					
1.5.5 Partnership/Joint Venture	1.5.6 Sole Proprietorship					
1.5.7 Non-Profit Organisation	1.5.8 Other					
1.5.8.1 If Other, please indicate type of business.						
1.6 Main or Primary Business Activities						
1.7 Particulars of Related Registrations/Accreditations Authorities/Institutions	s/Licensing with Government Departments/					
1.7.1 Are you registered with the South African Revenue Service (SARS) as an importer/exporter? No						
1.7.1.1 If Yes, please provide the registration reference number.						

1.7.2 Is your company or close corporation registered with the Department of Trade and Industry?					
1.7.2.1 If Yes, please provide the registration reference number.					
1.7.3 Are you registered w	rith the Department of Trade	e and Industry as an importer/exporter?	Yes	No	
1.7.3.1 If Yes, please prov	ide the registration reference	ce number.			
1.7.4 Are you registered with the National Conventional Arms Control Committee in terms of the National Conventional Arms Control Act, 2002 (Act No. 41 of 2002)?					
1.7.4.1 If Yes, please prov	ide the registration reference	ce number.			
1.7.5 Are you involved wit Energy Act, 1999 (Act No.		itrolled goods in terms of the Nuclear	Yes	No	
1 7 6 Are you involved wit	h evolucives or tear are as	controlled in terms of the Evalesives Act			
1.7.6 Are you involved with explosives or tear gas as controlled in terms of the Explosives Act, 2003 (Act No. 15 of 2003), and the Tear-gas Act, 1964 (Act No. 16 of 1964), respectively?					
1.7.7 Do you have any other related registrations/accreditations/licences?					
1.7.7.1 If Yes, please prov	ide the name of the instituti	ion and registration reference number.	1		
1.8 Facilities Owned or O	perated by the Applicant: (Only list facilities that are engaged in any a	ctivity	with	
regard to controlled goods	s. Space is provided to list t	the details of two facilities. Please add furt	her pag	ges if	
you have more facilities th	at are involved.				
1.8.1 Name of Facility (1)		1.8.2 Name of Facility (2)			
1.8.1.1 Physical Address		1.8.2.1 Physical Address			
Code		Code		-	
	L				

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1.8.1.2 Details of Person Responsible for the above Facility	1.8.2.2 Details of Person Responsible for the above Facility
1.8.1.2.1 Name and Designation of Responsible Person	1.8.2.2.1 Name and Designation of Responsible Person
1.8.1.2.2 Telephone (Office)	1.8.2.2.2 Telephone (Office)
1.8.1.2.3 Facsimile	1.8.2.2.3 Facsimile
1.8.1.2.4 Mobile Telephone	1.8.2.2.4 Mobile Telephone
1.8.1.2.5 Email	1.8.2.2.5 Email

SECTION 2: APPLICANT'S INVOLVEMENT OR INTENDED INVOLVEMENT WITH CONTROLLED GOODS Please complete this section to indicate your current involvement or intended involvement with controlled goods 2.1 Area of Involvement 2.1.1 Missile Technology and Related Items Yes No Government Notice No. 22 of 3 February 2010 2.1.2 Nuclear-related dual-use Equipment, Materials, Software and Related Technology Government Notice No. 20 of 3 February 2010 No Yes Government Notice No. 21 of 3 February 2010 Yes No 2.1.3 Chemical Goods Yes No Government Notice No. 18 of 3 February 2010 2.1.4 Biological Goods and Technologies Yes No Government Notice No. 19 of 3 February 2010 2.2 Types of Activity with regard to Controlled Goods Please indicate if you are involved or intend to become involved in any of the following types of activity with regard to controlled goods: 2.2.1 Do you manufacture controlled goods? Yes No 2.2.2 Do you possess, use or have in your custody or under your control, controlled goods? Yes No 2.2.3 Do you transfer controlled goods? Yes No Yes 2.2.4 Do you trade in controlled goods? No 2.2.5 Do you provide services with regard to controlled goods? No Yes 2.3 Additional Information Do you have any additional information that you wish to bring to the Council's attention? Yes No If Yes, please state below:

2.4 MISSILE TECHNOLOGY	AND RELATED	ITEMS	3		-		
Please complete this subsec	tion if you hav	e miss	sile ted	chnology and related items i	n your possessio	on or	
custody or under your contr	ol, or if you ha	ive any	/ facili	ties that are engaged in any	activity with re	gard to	0
missile technology and relat	ed items. Spa	ıce is p	rovide	ed to list the details of two f	acilities. Please	add	
further pages if you have me	ore facilities th	nat are	invol	ved.			
2.4.1.1 Name of Facility (1)				2.4.2.1 Name of Facility (2	2)		
2.4.1.2 Physical Address				2.4.2.2 Physical Address			
Code				Code			
2.4.1.3 Is this facility involv	ed with missile	e		2.4.2.3 Is this facility invo	ved with missilo	2	
technology or any of the rela	ated items list	ed in		technology or any of the re	elated items list	ed in	
Government Notice No. 22 o	of 3 February			Government Notice No. 22	of 3 February		
2010?	_	Yes	No	2010?	_	Yes	No
Category 1, Items 1.B.1, 2.B.1, 2.B.2		Yes	No	Category 1, Items 1.B.1, 2.B.1, 2.B.2		Yes	No
Other Category 1 Items		Yes	No	Other Category 1 Items		Yes	No
Category 2, Items 19 and 20 Yes No		No	Category 2, Items 19 and 20		Yes	No	
Other Category 2 Items Yes No			No	Other Category 2 Items		Yes	No
2.4.2 Do you have any additional information that you wish to bring to the Council's attention?					No		
If Yes, please state below:							

2.5 NUCLEAR-RELATED DUAL-USE EQUIPMENT, MATERIALS, SOFTWARE AND RELATED TECHNOLOGY Please complete this subsection if you have nuclear-related dual-use equipment, materials, software and related technology in your possession or custody or under your control, or if you have any facilities that are engaged in any activity with regard to nuclear-related dual-use equipment, materials, software and related technology. Space is provided to list the details of two facilities. Please add further pages if you have more facilities that are involved. 2.5.1.1 Name of Facility (1) 2.5.2.1 Name of Facility (2) 2.5.1.2 Physical Address 2.5.2.2 Physical Address Code Code 2.5.1.3 Is this facility involved with any of the 2.5.2.3 Is this facility involved with any of the nuclear-related dual-use equipment, materials, nuclear-related dual-use equipment, materials, software and related technology listed in software and related technology listed in **Government Notice No. 20 of 3 February Government Notice No. 20 of 3 February** Yes No Yes No 2010? 2010? **Government Notice No. 21 of 3 February Government Notice No. 21 of 3 February** No Yes No Yes 2010? 2010? Items 2.B.1, 2.B.2, 2.C.9, 2.C.17, 2.E.1, Items 2.B.1, 2.B.2, 2.C.9, 2.C.17, 2.E.1, No Yes No Yes 3.B.5, 3.E.1, 4.B.1, 4.B.2, 4.B.3, 4.E.1 3.B.5, 3.E.1, 4.B.1, 4.B.2, 4.B.3, 4.E.1 Other nuclear-related dual-use Other nuclear-related dual-use equipment, materials, software and Yes No equipment, materials, software and Yes No related technology not listed above related technology not listed above No 2.5.2 Do you have any additional information that you wish to bring to the Council's attention? Yes If Yes, please state below:

2.6 CHEMICAL GOODS					
or under your control or if you have any fa	cilities d to lis	s that	goods and technologies in your possession o are engaged in any activity with regard to c details of two facilities. Please add further p	hemica	al
2.6.1.1 Name of Facility (1) 2.6.2.1 Name of Facility (2)					
2.6.1.2 Physical Address			2.6.2.2 Physical Address		
Code			Code		
2.6.1.3 Is this facility involved with any of chemical goods listed in	the		2.6.2.3 Is this facility involved with any of chemical goods listed in	the	
Government Notice No. 18 of 3 February 2010?		Government Notice No. 18 of 3 February 2010?		No	
Annexure A chemicals or any facility that produces, acquires, consumes or stores Annexure A chemicals	Yes	No	Annexure A chemicals or any facility that produces, acquires, consumes or stores Annexure A chemicals	Yes	No
Annexure B chemicals or any facility that produces, processes or consumes Annexure B chemicals	Yes	No	Annexure B chemicals or any facility that produces, processes or consumes Annexure B chemicals	Yes	No
Annexure C chemicals or any facility that produces Annexure C chemicals Yes No		Annexure C chemicals or any facility that produces Annexure C chemicals	Yes	No	
Annexure D chemicals	Yes	No	Annexure D chemicals	Yes	No
Annexure E chemicals	Yes	No	Annexure E chemicals	Yes	No
Annexure F chemicals	Yes	No	Annexure F chemicals	Yes	No
Any facility that produces discrete organic chemicals or their salts	Any facility that produces discrete organic chemicals or their salts	Yes	No		
2.6.2 Do you have any additional information that you wish to bring to the Council's attention?					No
If Yes, please state below:					

2.7 BIOLOGICAL GOODS AND	TECHNOLOG	SIES				
or under your control, or if yo	ou have any face is provided	acilitie	s that	goods and technologies in your possession are engaged in any activity with regard to etails of two facilities. Please add further p	biologi	cal
2.7.1.1 Name of Facility (1) 2.7.2.1 Name of Facility (2)						
				·		
2.7.1.2 Physical Address 2.				2.7.2.2 Physical Address		
				·		
Code				Code		
i i			2.7.2.3 Is this facility involved with any of the			
biological goods and technological	_		!	biological goods and technologies listed in		
Government Notice No. 19 of 3 February 2010?		No	Government Notice No. 19 of 3 February 2010?	Yes	No	
Risk Group 2 (WHO or OIE Guidelines) Yes No		No	Risk Group 2 (WHO or OIE Guidelines)	Yes	No	
Risk Group 3 (WHO or OIE Guidelines) Yes No		No	Risk Group 3 (WHO or OIE Guidelines)	Yes	No	
Risk Group 4 (WHO or OIE Guidelines) Yes No		No	Risk Group 4 (WHO or OIE Guidelines)	Yes	No	
Toxins		Yes	No	Toxins	Yes	No
Plant Pathogens		Yes	No	Plant Pathogens	Yes	No
Controlled Equipment		Yes	No	Controlled Equipment	Yes	No
2.8.2 Do you have any addition	onal informat	ion tha	at you	wish to bring to the Council's attention?	Yes	No
If Yes, please state below:						

SECTION 3:						
	DECLARATION					
 I hereby – a) declare that the particulars in the application and all enclosures are true and correct; and b) undertake to – (i) inform the South African Council for the Non-Proliferation of Weapons of Mass Destruction immediately of any changes in the particulars furnished in this application; and (ii) comply with the non-proliferation of weapons of mass destruction legislation and procedures. 						
Signed at on (place) (date)						
Signature						
Full Name						
Designation						

EXPLANATORY NOTES ON TERMINOLOGY

In respect of the registration of persons contemplated in regulation 2(1), for the purposes of this registration form-

"manufacture", with regard to-

biological controlled goods (agents), means to cultivate replicative biological agents by any means or to synthesise, biosynthesise or extract non-replicative biological agents including toxins;

chemical controlled goods (agents), means to convert one or more chemicals into other chemicals via a chemical or biochemical process, use chemical(s) in the manufacture of a product, and deplete reserves by adding a chemical to formulations, as a constituent in a mixture, in a scrubber of unwanted chemicals, as a starting material in a process, as a component of a reaction or as a catalyst; and

missile technology and related items and nuclear-related dual-use equipment, materials and software and related technology as controlled goods, includes research, design, develop, produce and assembly, prototype, test, evaluate, inspect, integrate, install, commission, maintain and service contractually after sales;

"services" means freight forwarding, storing and stockpiling (if not part of the manufacture and transfer processes), transporting, maintaining (repairing, overhauling, refurbishing), consultation, technical assistance, instruction, teaching, training, and imparting working knowledge;

"transfer" includes the export, import, re-export, transit, trans-shipment and transport of controlled goods;

"use" means using controlled goods in the manufacture process; possessing; operating; stockpiling; disposing; handling, storing and retaining controlled goods; and exercising control over controlled goods.